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**OPTIMIZATION OF CONICAL HYDROSTATIC BEARING  
FOR MINIMUM FRICTION**

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# OPTIMIZATION OF CONICAL HYDROSTATIC BEARING FOR MINIMUM FRICTION

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## SUMMARY

Equations for the flow rate, load capacity, and friction torque for a conical hydrostatic bearing were developed. These equations were solved by a digital computer program to determine bearing configurations for minimum friction torque. Design curves are presented that show optimal bearing dimensions or minimum friction torque as a function of dimensionless flow rate for a range of dimensionless load capacity. Results are shown for both laminar and turbulent flow conditions.

The results indicate that hydrostatic pocket friction is a significant portion of the total friction torque. However, the bearing dimensions for a minimum friction design are affected very little by inclusion of pocket friction in the analysis. For laminar flow the values of the outer-land radius ratio  $X_3$  and outer bearing radius ratio  $X_4$  did not change significantly with increasing friction factor. For turbulent flow, the outer bearing radius ratio  $X_4$  did not change with increasing friction factor; therefore, the value determined for  $X_4$  in the laminar flow case is valid for all turbulent flows.

## INTRODUCTION

The design of incompressible fluid hydrostatic bearings with a variety of bearing configurations has been treated by Rippel (ref. 1) and others (refs. 2 and 3). These analyses have resulted in equations for load capacity, flow rate, and friction torque. References 1 and 2 also indicate optimum bearing proportions to minimize pressurization or pumping power requirements of such bearings. Preliminary studies on combination rolling-element - fluid-film bearings for high-speed applications such as the hybrid

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boost bearing (ref. 4) or the series hybrid fluid-film - rolling-element bearing (ref. 5) have led to an interest in the design and performance characteristics of a conical hydrostatic bearing optimized to minimize bearing friction torque.

The series hybrid bearing requires a minimum-friction fluid-film bearing in order to obtain the maximum reduction in rotative speed for the rolling-element bearing. The conical hydrostatic bearing was selected for analysis as it has both thrust and radial load capacity without the complexity of separate thrust and journal bearings. Overall film thickness and friction torque of the bearing may be readily modified by changing the supply pressure or, equivalently, the flow rate. This feature makes the conical hydrostatic bearing a prime candidate for use in future experimental work on the series hybrid bearing concept.

This study presents an analysis of a conical hydrostatic bearing optimized to minimize friction and a method of designing such a bearing for various combinations of operating conditions of load capacity, flow rate, and Reynolds number.

Two operating regimes may be identified as of interest in conical hydrostatic bearing design. These are (1) the low- and moderate-speed regime, where laminar flow may be expected under the lands and within the hydrostatic pockets, and (2) the high-speed regime, where turbulent flow might be expected within the hydrostatic pockets.

The method used to predict bearing performance characteristics in each of these cases will be that of expressing equations relating pressure, thrust load, flow, and friction torque in terms of bearing design parameters. Friction torque can then be minimized by equating the rate of change of friction torque with bearing size to zero.

## ANALYSIS

Figure 1 shows the configuration of a conical hydrostatic bearing as applied to a series hybrid fluid-film - rolling-element bearing. Figure 2 shows the type of conical hydrostatic bearing considered for this design application. Fluid is introduced at the shaft centerline (fig. 2(a)) and is fed radially to orifice or capillary flow restrictors, at radius  $R_o$ , which provide pressure compensation for potential misalignment and varying loads. The hydrostatic pressure available for load capacity is that developed at radius  $R_o$  because of centrifugal effects. After the fluid has passed through the compensating element, a pressure  $p$  is presumed to be available in the hydrostatic pockets to resist a thrust load  $F$ . (Symbols are defined in the appendix.) The required pressure area is determined by the thrust load the bearing must carry at supply pressure  $p$ . The load capacity can be expressed by

$$F = \frac{p\pi}{2} (R_4^2 + R_3^2 - R_2^2 - R_1^2) \quad (1)$$

Equation (1) presumes that the full pressure  $p$  acts over the area of the pockets and that the average pressure over the circumferential lands is  $p/2$ . This is a good approximation provided that  $R_4/R_3$  and  $R_2/R_1$  are not too much greater than 1. The effects of relative motion on pressure profiles are neglected in this analysis.

Flow will take place radially over each circumferential land. The total flow is the sum of the flow over the lands or

$$Q = Q_i + Q_o = \frac{\pi h_L^3 p \sin \theta}{6\mu} \left( \frac{1}{\ln \frac{R_2}{R_1}} + \frac{1}{\ln \frac{R_4}{R_3}} \right) \quad (2)$$

Friction torque due to the circumferential lands is presumed to be the result of laminar shearing of the fluid between inner and outer circumferential lands and the mating surface. The friction torque due to the inner and outer circumferential lands can be written as

$$M_L = \frac{\pi \mu \omega_f}{2h_L \sin \theta} (R_4^4 - R_3^4 + R_2^4 - R_1^4) \quad (3)$$

Let

$$\begin{aligned} x_2 &= \frac{R_2}{R_1} & \bar{F} &= \frac{2F}{\pi p R_1^2} \\ x_3 &= \frac{R_3}{R_1} & \bar{Q} &= \frac{6\mu Q}{\pi p h_L^3 \sin \theta} \\ x_4 &= \frac{R_4}{R_1} & \bar{M}_L &= \frac{2M_L h_L \sin \theta}{\pi \mu \omega_f R_1^4} \end{aligned}$$

equations (1) to (3) can be written in dimensionless form as

$$\bar{F} = x_4^2 + x_3^2 - x_2^2 - 1 \quad (4)$$

$$\bar{Q} = \bar{Q}_1 + \bar{Q}_0 = \frac{1}{\ln X_2} + \frac{1}{\ln \frac{X_4}{X_3}} \quad (5)$$

$$\bar{M}_L = X_4^4 - X_3^4 + X_2^4 - 1 \quad (6)$$

Solving for  $X_4$  in equation (5) gives

$$X_4 = X_3 \exp \left( \frac{1}{\bar{Q} - \frac{1}{\ln X_2}} \right) \quad (7)$$

Note that  $X_4$  is undefined for  $\bar{Q} = 1/\ln X_2$ . Substituting equation (7) for  $X_4$  into equations (4) and (6) gives

$$\bar{F} = X_3^2 \left[ 1 + \exp \left( \frac{2}{\bar{Q} - \frac{1}{\ln X_2}} \right) \right] - X_2^2 - 1 \quad (8)$$

$$\bar{M}_L = X_3^4 \left[ -1 + \exp \left( \frac{4}{\bar{Q} - \frac{1}{\ln X_2}} \right) \right] + X_2^4 - 1 \quad (9)$$

Solving for  $X_3$  in equation (8) gives

$$X_3 = \left[ \frac{\bar{F} + X_2^2 + 1}{1 + \exp \left( \frac{2}{\bar{Q} - \frac{1}{\ln X_2}} \right)} \right]^{1/2} \quad (10)$$



$$\overline{M}_L = X_2^4 + (\overline{F} + X_2^2 + 1)^2 \tanh \left( \frac{1}{\overline{Q} - \frac{1}{\ln X_2}} \right) - 1 \quad (11)$$

$$\frac{d\overline{M}_L}{dX_2} = 4X_2^3 + (\overline{F} + X_2^2 + 1) \left[ 4X_2 \tanh \left( \frac{1}{\overline{Q} - \frac{1}{\ln X_2}} \right) - \frac{(\overline{F} + X_2^2 + 1)}{X_2 (\overline{Q} \ln X_2 - 1)^2} \operatorname{sech}^2 \left( \frac{1}{\overline{Q} - \frac{1}{\ln X_2}} \right) \right] \quad (12)$$

### Physical Restrictions

From figure 2 and the relations for the dimensionless radii, the following can be written:

$$1 < X_2 < X_3 < X_4 \quad (13)$$

From equation (10) and inequality (13),

$$X_2 < \left[ \frac{\overline{F} + X_2^2 + 1}{1 + \exp \left( \frac{2}{\overline{Q} - \frac{1}{\ln X_2}} \right)} \right]^{1/2} \quad (14)$$

A further restriction which one needs in order to get reasonable results is that

$$\overline{Q}_0 \geq \overline{Q}_1 - \frac{1}{\ln \frac{X_4}{X_3}} > \frac{1}{\ln X_2} - \frac{X_4}{X_3} < X_2 \quad (15)$$

Making use of equation (10), inequality (15) yields

$$X_2 > \exp\left(\frac{2}{\bar{Q}}\right) \quad (16)$$

Making use of equations (14) and (16) while letting  $X_2 \rightarrow \exp\left(\frac{2}{\bar{Q}}\right)$  yields

$$e^{2/\bar{Q}} < \left( \frac{\bar{F} + e^{4/\bar{Q}} + 1}{1 + e^{4/\bar{Q}}} \right)^{1/2}$$

The preceding results in the following relation between  $\bar{F}$  and  $\bar{Q}$ :

$$\bar{F} > \exp\left(\frac{8}{\bar{Q}}\right) - 1 \quad (17)$$

### Low-Speed Operating Regime

At low speeds pocket friction will occur through laminar shearing of the fluid. The pressure gradient created by the action of the radial lands and pockets will also contribute to friction torque, as has been shown by Shinkle and Hornung (ref. 6). Their experimental results, supports their analytical finding that the effective friction shear stress may be calculated from  $f = 2\tau/\rho V^2$  with  $f = 8/\text{Re}$  in the laminar flow regime ( $\text{Re} < 1000$ ). For the conical hydrostatic bearing,  $\text{Re} = \rho r \omega_f h_P / \mu$  and

$$\tau = \frac{4\mu}{h_P} r \omega_f \quad (18)$$

Then

$$M_P = \int_{R_2}^{R_3} r \tau dA = \int_{R_2}^{R_3} r \left( \frac{4\mu r \omega_f}{h_P} \right) f_r \left( \frac{2\pi r dr}{\sin \theta} \right)$$

or

$$M_P = \frac{2\pi\mu\omega_f f_r}{h_P \sin \theta} (R_3^4 - R_2^4) \quad (19)$$

The total fluid-film friction torque is the sum of the friction torque due to the circumferential lands and the pocket friction. This can be expressed as

$$M_t = M_L + M_P \quad (20)$$

By use of equation (19), equation (20) can be written in dimensionless form as

$$\bar{M}_t = \frac{2M_t h_L \sin \theta}{\pi \mu \omega_f R_1^4} = \bar{M}_L + C_1 (X_3^4 - X_2^4) \quad (21)$$

where

$$C_1 = 4f_r \frac{h_L}{h_P} \quad (22)$$

In bearings where friction is to be minimized, the fraction of potential pocket area actually used as hydrostatic pockets  $f_r$  will be close to unity. It may then be noted that, for  $h_P \gg h_L$ ,  $C_1 \cong 0$  and in equation (21) the total friction torque becomes equal to that due to the circumferential lands ( $\bar{M}_t = \bar{M}_L$ ).

Differentiating equation (21) with respect to  $X_2$  results in

$$\frac{d\bar{M}_t}{dX_2} = \frac{d\bar{M}_L}{dX_2} + 4C_1 \left( X_3^3 \frac{dX_3}{dX_2} - X_2^3 \right) \quad (23)$$

The expression for  $dX_3/dX_2$  can be obtained from equation (10) as

$$\frac{dX_3}{dX_2} = \frac{1}{X_3} \left\{ \frac{X_2}{1 + \exp\left(\frac{2}{\bar{Q} - \frac{1}{\ln X_2}}\right)} + \frac{(\bar{F} + X_2^2 + 1) \exp\left(\frac{2}{\bar{Q} - \frac{1}{\ln X_2}}\right)}{X_2 (\bar{Q} \ln X_2 - 1)^2 \left[1 + \exp\left(\frac{2}{\bar{Q} - \frac{1}{\ln X_2}}\right)\right]^2} \right\} \quad (24)$$



Therefore, with equations (10), (12), and (24), equations (21) and (23) are expressed in terms of  $C_1$ ,  $X_2$ ,  $\bar{F}$ , and  $\bar{Q}$ .

## High-Speed Regime

When speeds become large enough to result in Reynolds numbers ( $Re = \rho \omega_f R_1 h_L / \mu$ ) greater than 1000, the turbulent friction action of the hydrostatic pockets must be included.

Bearings operating within the turbulent flow regime have been treated by a number of investigators (refs. 7 to 9). The work of Hirs (ref. 9), however, most accurately represents the experimental work of Shinkle and Hornung (ref. 6) on turbulent hydrostatic bearing friction measurements. The work of these authors was used to derive the shear stress as

$$\tau = 0.031 \rho V^2 Re^{-0.25} \quad (25)$$

From equation (25), the friction torque due to the hydrostatic pockets  $M_P$  can be calculated by

$$M_P = \int_{R_2}^{R_3} r (0.031) \rho V^2 \left( \frac{\rho r \omega_f h_P}{\mu} \right)^{-0.25} f_r \left( \frac{2\pi r dr}{\sin \theta} \right) \quad (26)$$

or

$$M_P = \frac{(0.031) 2\pi f_r}{4.75 \sin \theta} \rho^{0.75} \omega_f^{1.75} \left( \frac{\mu}{h_P} \right)^{0.25} (R_3^{4.75} - R_2^{4.75}) \quad (27)$$

The total friction torque, taking into account turbulence, can be expressed by equation (20), where  $M_P$  is now defined by equation (27). The dimensionless form of the total friction torque for turbulent conditions may be expressed as

$$\bar{M}_t = \frac{2M_t \sin \theta h_L}{\pi \mu \omega_f R_1^4} = \bar{M}_L + C_2 (X_3^{4.75} - X_2^{4.75}) \quad (28)$$

where

$$C_2 = \frac{2(0.062)}{4.75} f_r \left( \frac{\rho R_1 \omega_f h_P}{\mu} \right)^{0.75} \frac{h_L}{h_P} \quad (29)$$

Differentiating equation (28) with respect to  $X_2$  gives

$$\frac{d\bar{M}_t}{dX_2} = \frac{d\bar{M}_L}{dX_2} + 4.75C_2 \left( X_3^{3.75} \frac{dX_3}{dX_2} - X_2^{3.75} \right) \quad (30)$$

Therefore, with equations (10), (12), and (24), equations (28) and (30) can be expressed in terms of  $C_2$ ,  $X_2$ ,  $\bar{F}$ , and  $\bar{Q}$ .

### Optimization Procedure

The equations (10), (11), (12), (21), (23), (24), (28), and (30) developed in the analysis were programmed on a digital computer. It is seen from these equations that the friction torque  $\bar{M}_t$  and its derivative with respect to  $X_2$  are functions of the dimensionless flow rate  $\bar{Q}$ , the dimensionless load capacity  $\bar{F}$ , the dimensionless coefficient  $C_1$  or  $C_2$  (depending on whether the bearing is operating in the laminar or turbulent regime), and the ratio of the outer radius to the inner radius of the inner land  $X_2$ .

The problem as defined in the INTRODUCTION is to find the optimal conical hydrostatic bearing configuration for minimum friction torque for laminar and turbulent flow conditions. This means setting  $d\bar{M}_t/dX_2$  equal to zero in equations (23) and (30) and finding the values of  $X_2$  which satisfy these equations. The "false position" numerical method was used in finding the optimal value of  $X_2$ . When  $C_1$  or  $C_2$ ,  $\bar{F}$ , and  $\bar{Q}$  are known, the optimal values of  $X_2$  for minimal friction could be obtained for laminar or turbulent flow conditions.

### DISCUSSION OF RESULTS

The results are shown in figures 3 to 12. In all these figures the abscissa is the dimensionless flow rate  $\bar{Q}$ , and for each figure seven curves are shown representing seven values (0.5, 1, 2, 3, 5, 7, and 10) of dimensionless load capacity  $\bar{F}$ . On the ordinate of these figures, the optimal bearing configuration or the resulting minimum



friction torque is given. For low-speed operation, or when laminar flow exists, the results are shown in figures 3 to 8, where  $C_1 = 0, 0.4, \text{ or } 0.8$ . For high-speed operation, when turbulent flow exists, the results are shown in figures 9 to 12, where  $C_2 = 0.4 \text{ or } 0.8$ . The values of  $\bar{F}$  and  $\bar{Q}$  were chosen such that they satisfy inequality (13). The following comments can be made about figures 3 to 12.

- (1) A designer is able to find optimal bearing configurations ( $X_2$ ,  $X_3$ , and  $X_4$ ) for minimum friction torque given the flow rate, load, and angular velocity.
- (2) In figures 4 and 11, it is seen that  $X_3$  approaches an asymptote rather quickly. Furthermore, the asymptotic values of  $X_4$  in figure 5 are exactly the asymptotic values of  $X_3$  in figure 4 for a given dimensionless load capacity.
- (3) For all the laminar flow cases ( $C_1 = 0, 0.4, \text{ and } 0.8$ ), it was found that the values of  $X_3$  and  $X_4$  did not change significantly when  $C_1$  changed. Therefore, figures 4 and 5, which are plotted for a  $C_1 = 0$ , are to be used in obtaining the values of  $X_3$  and  $X_4$ , respectively, as long as the pocket flow is laminar ( $Re < 1000$ ).
- (4) For all the turbulent flow cases ( $C_2 = 0.4 \text{ and } 0.8$ ), it was found that  $X_4$  did not change with change of  $C_2$ . Therefore, figure 5 may be used in obtaining the value of  $X_4$ .
- (5) It was found that the addition of the laminar or turbulent pocket friction term in the friction torque expression did not appreciably change the bearing dimensions (values of  $X_2$ ,  $X_3$ , and  $X_4$ ) for minimum torque. It seems that, no matter how much  $C_1$  and  $C_2$  are increased (within reasonable limits), torque is still less for pocket areas than for the land areas.
- (6) Friction torque values rise substantially when the laminar and turbulent pocket friction terms are included, as can be seen from comparing figure 6 with figure 8 and with figures 9 and 12. The torque increase is greatest at high flow rates.

## SUMMARY OF RESULTS

Equations for the flow rate, load capacity, and friction torque for a conical hydrostatic bearing were developed. A digital computer program was developed which determined the optimal bearing configuration for minimum friction torque. Design curves showing optimal bearing configurations (radius ratios  $X_2$ ,  $X_3$ , and  $X_4$ ) or minimum friction torque as a function of dimensionless flow rate were plotted for seven values of dimensionless load capacity. Design curves were shown for both laminar and turbulent flow conditions. The following results were obtained:

1. Friction torque was strongly affected by hydrostatic pocket friction; however, bearing dimensions for a minimum friction design were affected very little by pocket friction.



2. For all laminar-flow cases, the values of  $X_3$  and  $X_4$  did not change significantly with increasing values of friction factor.

3. For all turbulent flow cases,  $X_4$  did not change with increasing values of friction factor; therefore, the value of the friction factor,  $C_1 = 0$ , determined for laminar flow may be used to obtain the value of  $X_4$  for all turbulent flows.

# APPENDIX

## SYMBOLS

$C_1$	dimensionless laminar friction coefficient, $4h_L f_r / h_P$
$C_2$	dimensionless turbulent friction coefficient, $0.0261 f_r (\rho R_1 \omega_f h_P / \mu)^{0.75} h_L / h_P$
$F$	thrust load
$\bar{F}$	dimensionless thrust load, $F / (\pi p R_1^2)$
$f$	friction factor, $2\tau / \rho V^2$
$f_r$	fraction of area between $R_2$ and $R_3$ occupied by hydrostatic pockets
$h$	fluid-film thickness
$M$	fluid-film bearing torque
$\bar{M}$	dimensionless fluid-film bearing torque, $2M h_1 h_2 \sin \theta / (\pi \mu \omega_f)$
$M_t$	total fluid-film bearing torque
$p$	pressure
$Q$	fluid flow
$\bar{Q}$	dimensionless fluid flow, $6\mu Q / (\pi h^3 p \sin \theta)$
$R_1$	inner radius of inner land
$R_2$	outer radius of inner land
$R_3$	inner radius of outer land
$R_4$	outer radius of outer land
$Re$	Reynolds number, $\rho V h / \mu$
$V$	relative speed between fluid film bearing surfaces, $r \omega_f$
$X_2$	$R_2 / R_1$
$X_3$	$R_3 / R_1$
$X_4$	$R_4 / R_1$
$\theta$	half-angle of conical hydrostatic bearing
$\mu$	fluid dynamic viscosity
$\rho$	fluid density
$\tau$	fluid-film shear stress

$\omega_f$  rotational speed of fluid-film bearing

Subscripts:

L land

P pocket



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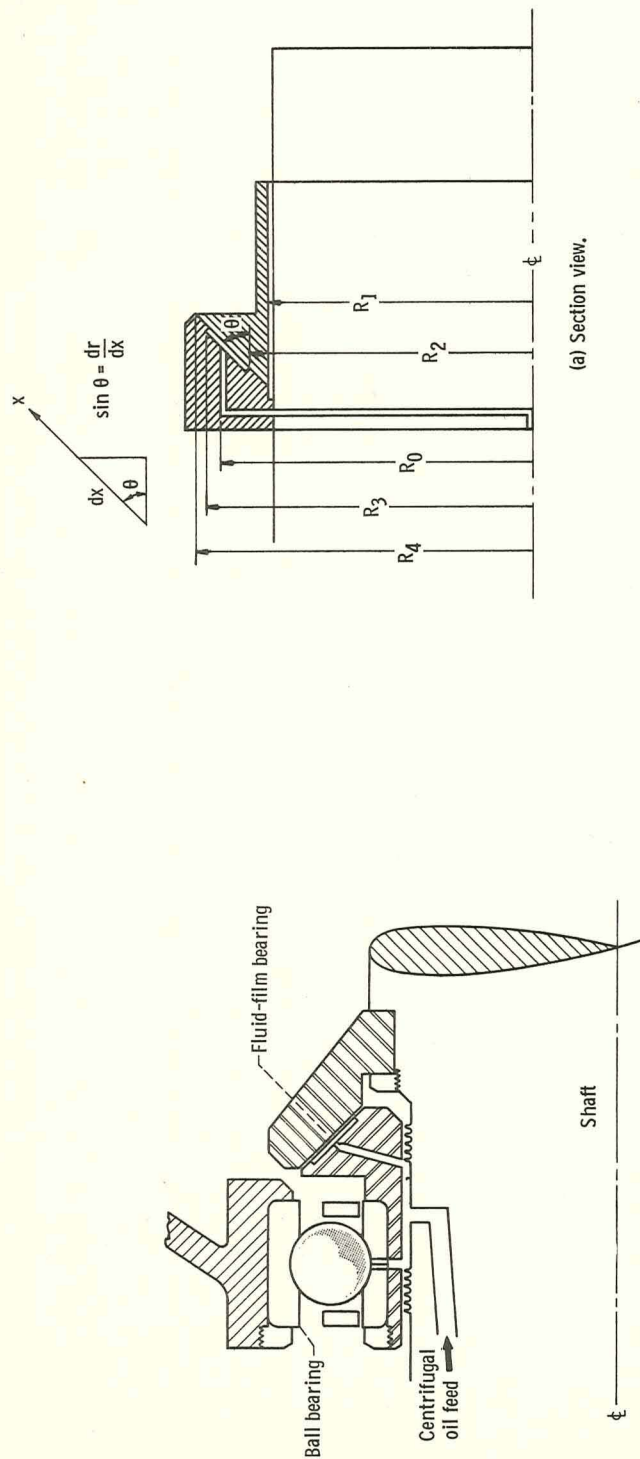


Figure 1. - Schematic diagram of series hybrid fluid-film - rolling-element bearing.

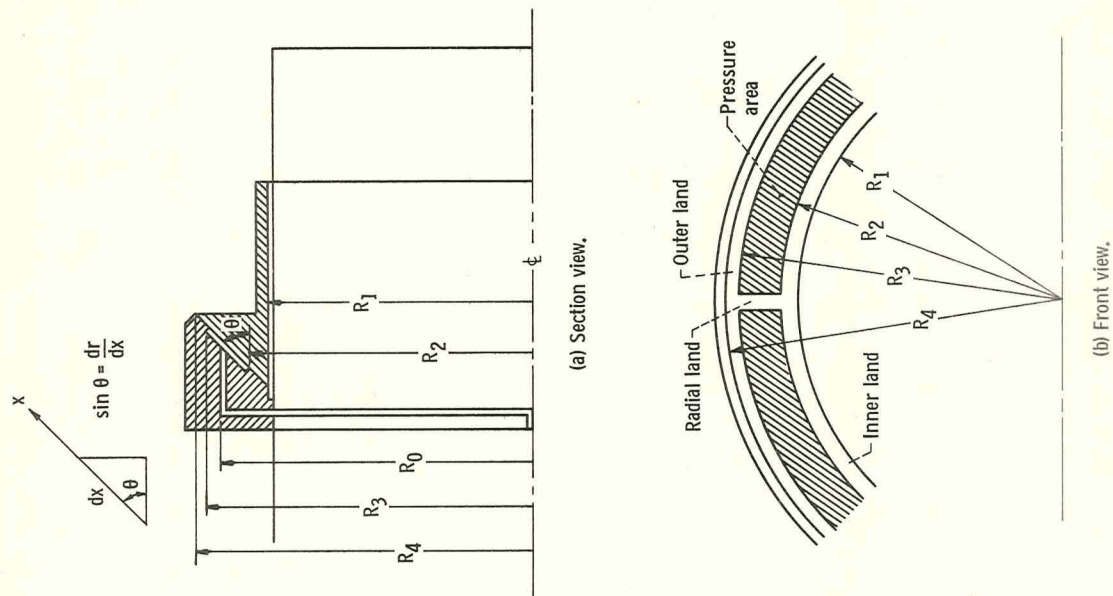


Figure 2. - Schematic diagrams of conical hydrostatic bearing design.

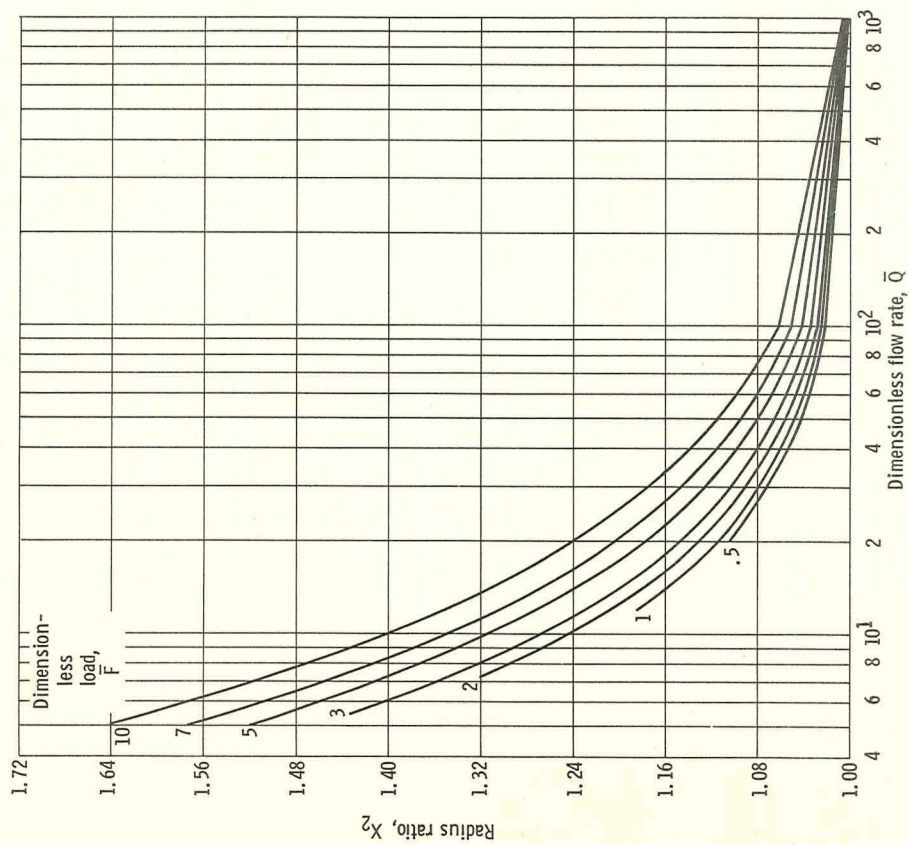


Figure 3. - Effect of flow rate on radius ratio  $X_2$  for optimal bearing. Dimensionless laminar friction coefficient, 0.

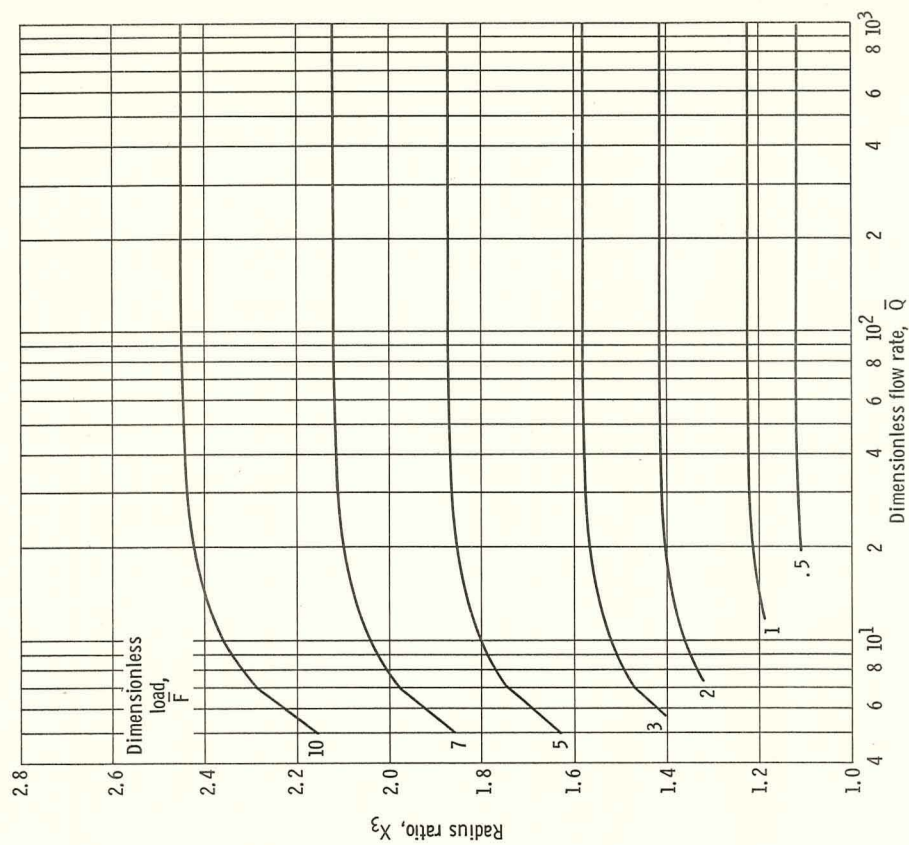


Figure 4. - Effect of flow rate on radius ratio  $X_3$  for optimal bearing. Dimensionless laminar friction coefficient, 0.



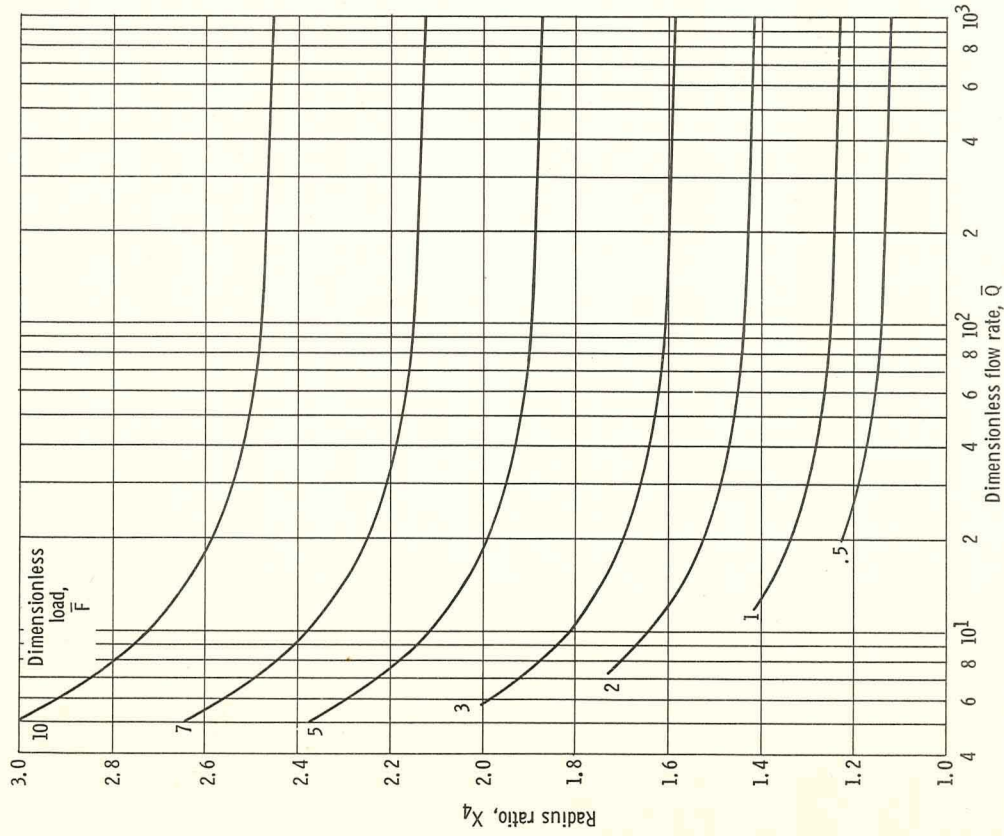


Figure 5. - Effect of flow rate on radius ratio  $X_4$  for optimal bearing. Dimensionless laminar friction coefficient, 0.

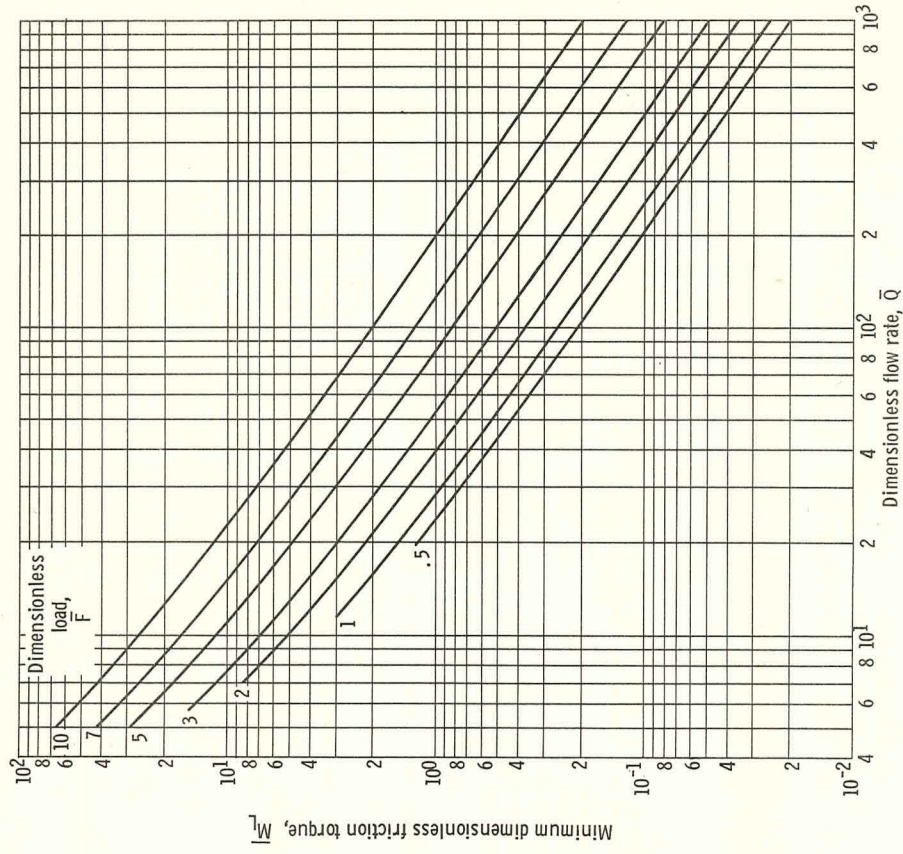


Figure 6. - Effect of flow rate on friction torque for optimal bearing. Dimensionless laminar friction coefficient, 0.

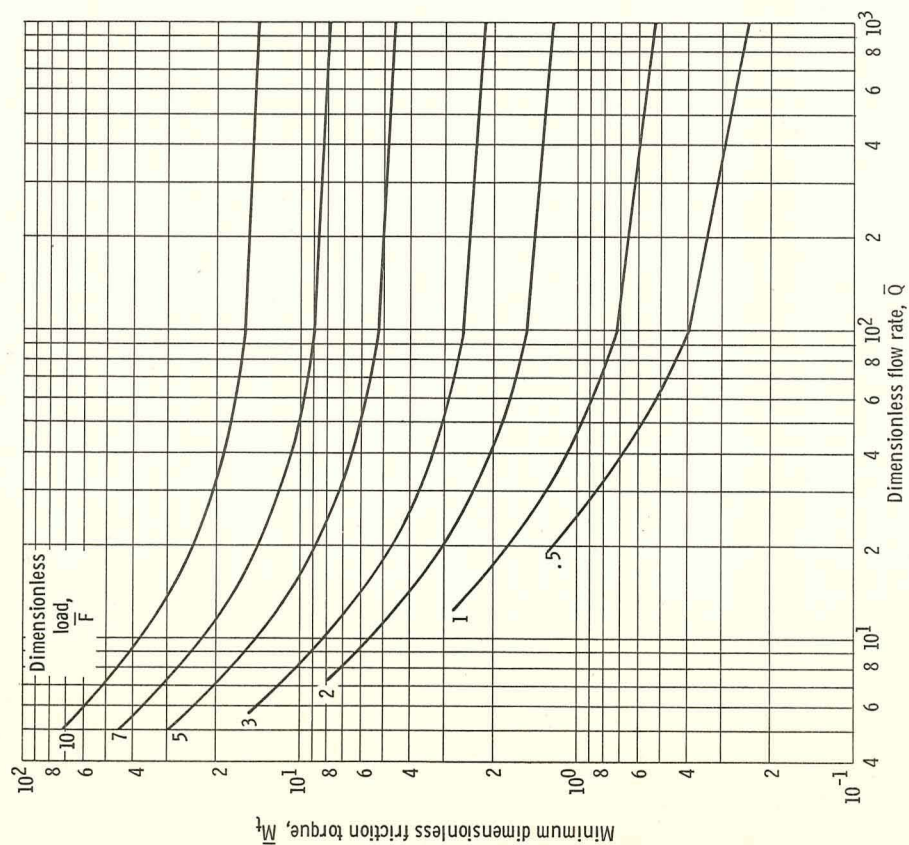


Figure 7. - Effect of flow rate on friction torque for optimal bearing. Dimensionless laminar friction coefficient, 0.4.

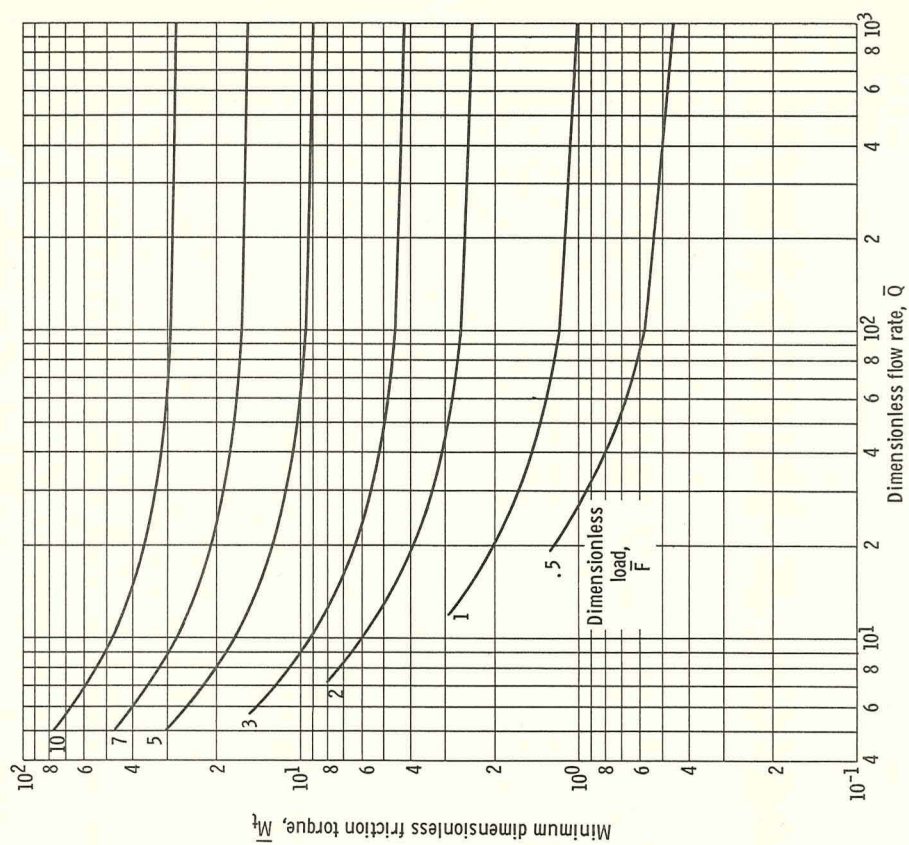


Figure 8. - Effect of flow rate on friction torque for optimal bearing. Dimensionless laminar friction coefficient, 0.8.

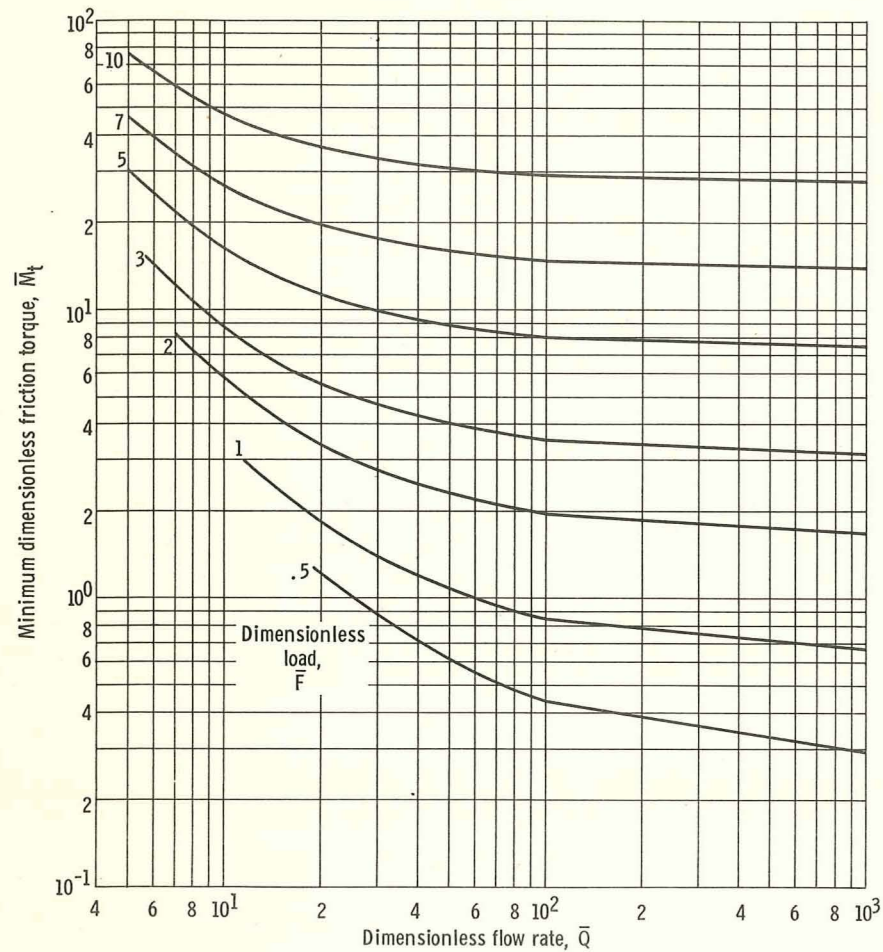


Figure 9. - Effect of flow rate on friction torque for optimal bearing. Dimensionless turbulent friction coefficient, 0.4.

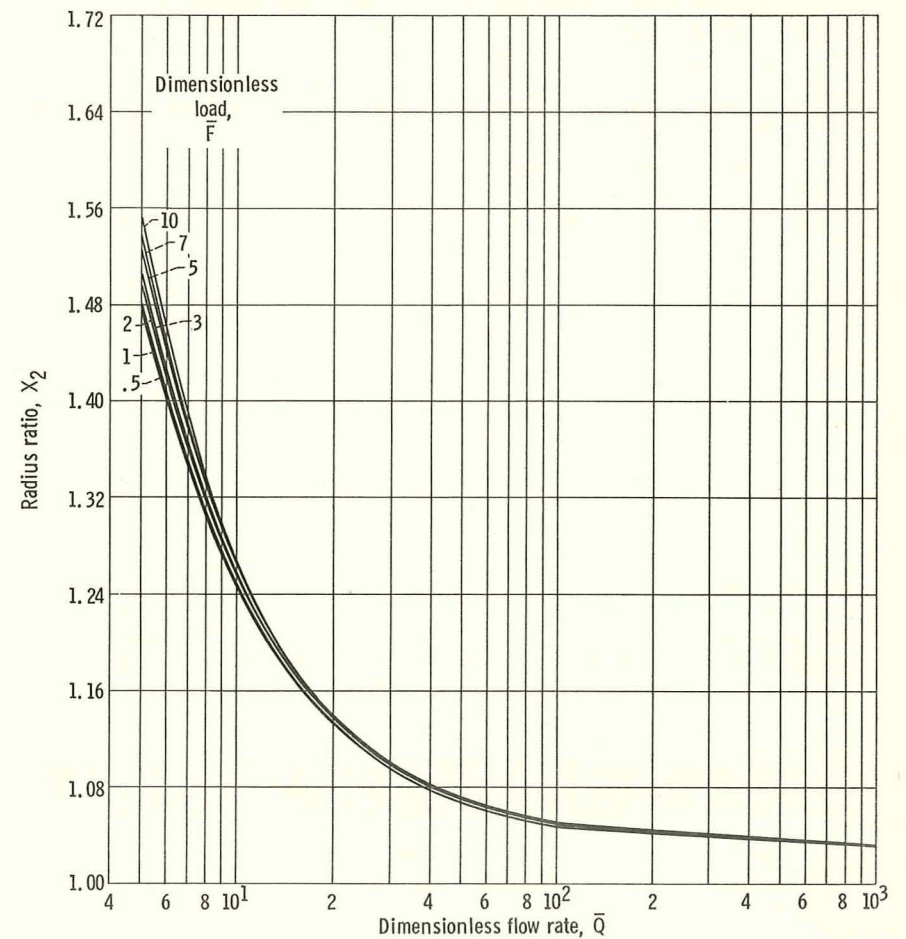


Figure 10. - Effect of flow rate on radius ratio  $X_2$  for optimal bearing. Dimensionless turbulent friction coefficient, 0.8.



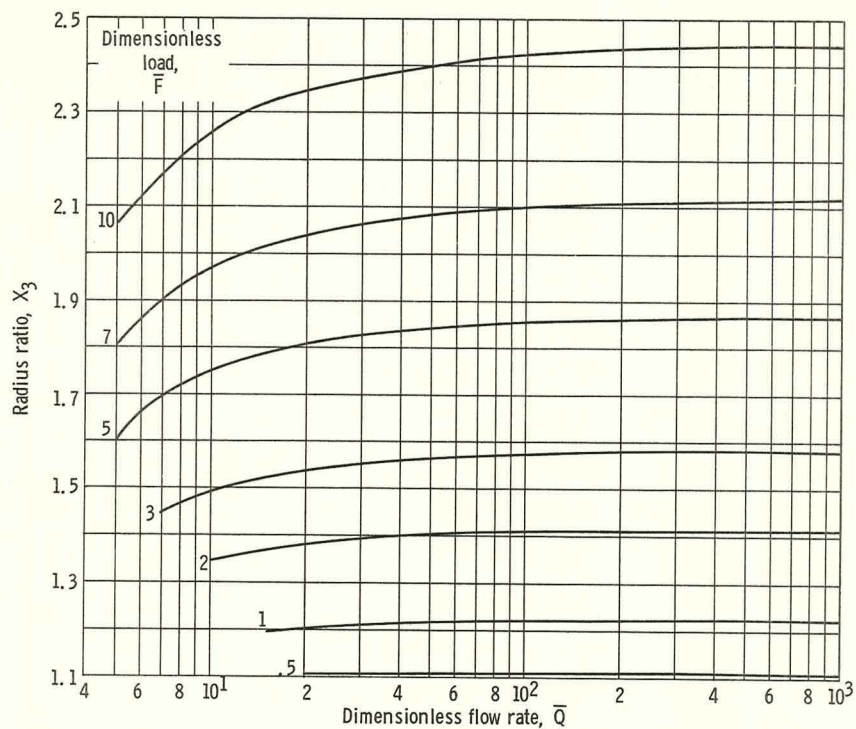


Figure 11. - Effect of flow rate on radius ratio  $X_3$  for optimal bearing. Dimensionless turbulent friction coefficient, 0.8.

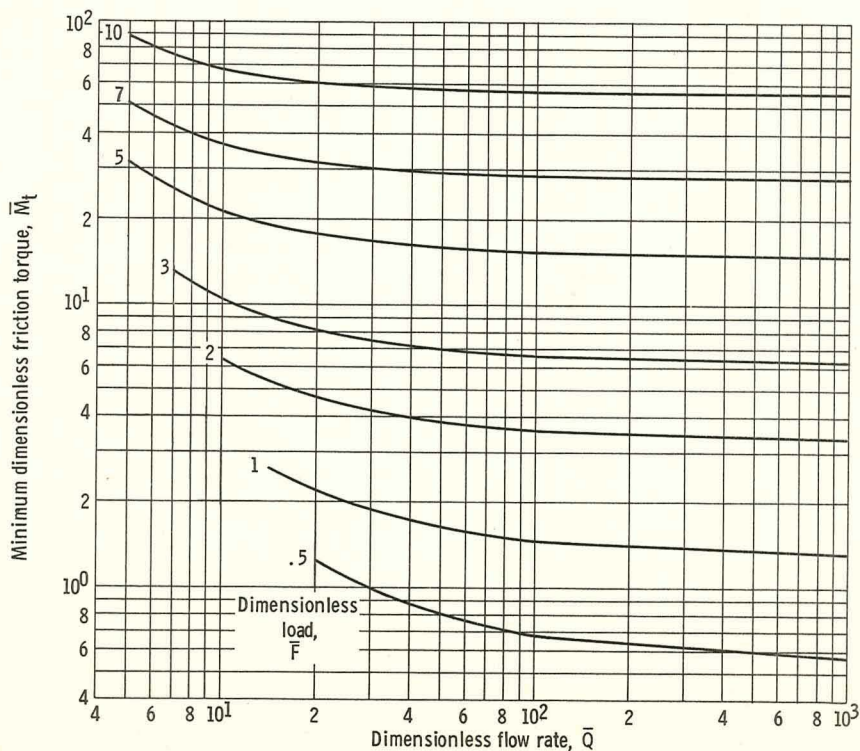


Figure 12. - Effect of flow rate on friction torque for optimal bearing. Dimensionless turbulent friction coefficient, 0.8.